



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of) **ATTENTION:** Office of Initial Patent
Marcel NAAS, *et al.*) Examination – Filing Receipt Corrections
Application No. 10/828,497) Confirmation No.: 4289
Filed: April 21, 2004) Group Art Unit: 3624
For: **CONTROLLING RESOURCE GROUP**) Examiner: Unassigned
TRANSFERS FOR REPO BASKET)
TRANSACTION SYSTEMS)

United States Patent and Trademark Office
Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, VA 22314

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

The Filing Receipt was received by the undersigned attorney of record in the above-identified application. On reviewing the Filing Receipt, the following errors were noted in the “Power of Attorney” as follows:

In the “Power of Attorney”, after “:” add --The patent practitioners associated with Customer Number 22204--.

Submitted herewith is a copy of the Filing Receipt with the above-noted corrections indicated thereon and a copy of the Declaration and Power of Attorney filed November 12, 2004 in which the Customer No. 22204 is highlighted.

Issuance of a corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,
NIXON PEABODY, LLP



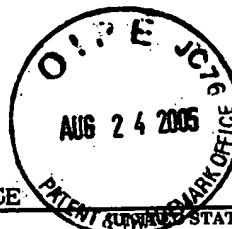
Carlos R. Villamar
Registration No. 43,224

Dated: August 24, 2005

Customer No. 22204
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/828,497	04/21/2004	3624	2226	741439-13	6	73	7

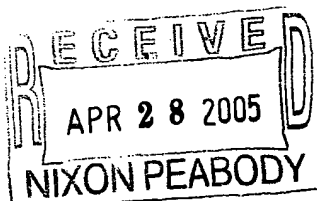
CONFIRMATION NO. 4289

UPDATED FILING RECEIPT



OC000000015875505

22204
 NIXON PEABODY, LLP
 401 9TH STREET, NW
 SUITE 900
 WASHINGTON, DC 20004-2128



Date Mailed: 04/26/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Marcel Naas, Frankfurt am Main, GERMANY;
 Gerd Hartung, Frankfurt am Main, GERMANY;

Assignment For Published Patent Application

Deutsche Borse AG, Frankfurt am Main, GERMANY

Power of Attorney:

~~Marc Kaufman-36242~~ The patent practitioners associated with Customer Number 22204

Domestic Priority data as claimed by applicant**Foreign Applications**

EUROPEAN PATENT OFFICE (EPO) 03025450.2 11/05/2003

If Required, Foreign Filing License Granted: 09/21/2004

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/828,497**

Projected Publication Date: 08/04/2005

Non-Publication Request: No

Early Publication Request: No

Title

Controlling resource group transfers for repo basket transaction systems

Preliminary Class

705

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

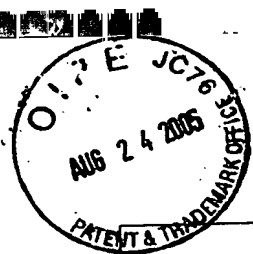
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NOT GRANTED

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN
APPLICATION DATA SHEET (37 CFR 1.76) AND POWER OF ATTORNEY

Attorney Docket No. 741439-13

Title of Invention	CONTROLLING RESOURCE GROUP TRANSFERS FOR REPO BASKET TRANSACTION SYSTEMS
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As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/828,497, filed on April 21, 2004,
☐ as amended on _____ (if applicable);

I/We believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/We have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

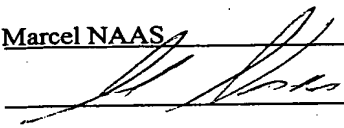
All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

I/We hereby appoint:

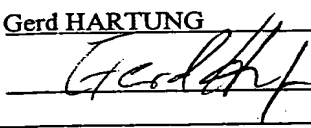
Practitioners at Customer Number 22204 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

FULL NAME OF INVENTOR(S)

Inventor one: Marcel NAAS

Signature:  Citizen of: Germany

Inventor two: Gerd HARTUNG

Signature:  Citizen of: Germany

Inventor three: _____

Signature: _____ Citizen of: _____

Inventor four: _____

Signature: _____ Citizen of: _____

Inventor five: _____

Signature: _____ Citizen of: _____